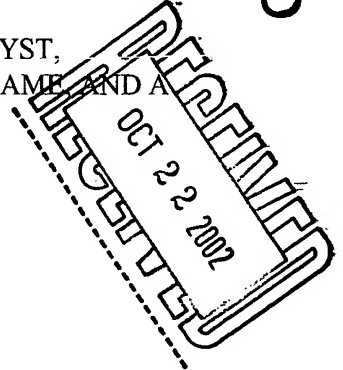


PATENT  
Docket No.: 11197/7

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Takahiro NAKAJIMA et al.  
SERIAL NO. : 10/049,438 (Natl. Phase of PCT/JP00/05728)  
FILED : May 30 2002  
FOR : A POLYESTER POLYMERIZATION CATALYST,  
POLYESTER PRODUCED BY USING THE SAME AND A  
PROCESS FOR PRODUCING POLYESTER  
GROUP ART UNIT : 1754  
EXAMINER : (Unassigned)

RECEIVED  
OCT 21 2002  
TC 1700



ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)(3)**

S I R:

Under the provisions of 37 CFR §1.97(b)(3), and in accordance with Applicants' duty of disclosure under 37 CFR §1.56, Applicants would like to call the Examiner's attention to the documents cited on the attached form PTO-1449. A copy of each reference is attached.

The Examiner is respectfully requested to review and mark as considered the references listed on the attached form PTO-1449, to make them of record in the present application and to include them in the "References Cited" section of any patent issuing from the present application.

Since this statement is being submitted prior to a first Office Action on the merits, it is believed that no fee is due in connection with this paper. However, should any fees be due, the Office is hereby authorized to charge any fees under 37 CFR §1.16 or §1.17, or to credit any overpayment, to Deposit Account No. 11-0600.

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)(3)  
U.S. Application Serial No. 10/049,438

The Examiner is invited to contact the undersigned at 202/220-4200 to discuss any matter in this application.

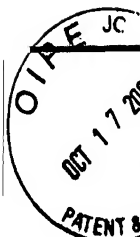
Respectfully submitted,  
KENYON & KENYON

Dated: Oct. 17, 2002

By:

  
Thomas A. Haag, Ph.D.  
(Reg. No. 47,621)

1500 K Street, N.W., Suite 700  
Washington, D.C. 20005  
202-220-4200



Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/049,438
Filing Date	May 30, 2002
First Named Inventor	Takahiro NAKAJIMA et al.
Group Art Unit	1754
Examiner Name	
Attorney Docket Number	11197/7

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-3,594,347	07/20/71	Lazarus et al.	
	2	US- 3,847,873	11/12/74	Jackson et al.	
	3	US- 6,239,200 B1	05/29/01	Kao et al.	
	4	US- 6,329,005 B1	05/21/02	Jen	
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**RECEIVED****OCT 21 2002****TC 1700****FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	1	GB 1 348146	03/13/74			x
	2	JP 2000 63504	02/29/00			abstr
	3	JP 8 193127	30/07/96			abstr
	4	JP 46 5395	29/11/71			abstr
	5	WO 96/11978 EP	04/25/96			x
	6	WO 96/41828 US	12/27/96			x
	7	WO 99/28033 GB	06/10/99			x
	8	WO 00/71252 A1 GB	11/30/00			x
	9	WO 01/42335 A1 US	06/14/01			x
	10	WO 02/068500 A1	09/06/02			

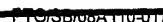
Examiner  
SignatureDate  
Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Approved for use through 10/31/2002. OMB 0651-0031

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*(use as many sheets as necessary)*

Sheet

of

Application Number

10/049.438

Filing Date

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First Named Inventor

Takahiro NAKAJIMA, et al.

### Group Art Unit

1754

Examiner Name

Attorney Docket Number

11197/7

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

TC 1700

Examiner  
Signature

Date \_\_\_\_\_

Considered

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